



<b>Vidas, Arrett &amp; Steinkraus Utility Patent Application Transmittal</b>		Atty. Docket No First Inventor Title: Express Mail Label No.	H01.2-11371 Jan Herschel et al A BRAKING DEVICE FOR AN INDUSTRIAL TRUCK EL992794895US																																												
<b>Application Elements</b>		<b>Address To:</b>	Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450																																												
<table border="0"> <tr> <td>1. <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Included</td> <td style="text-align: right;">Pages 2</td> </tr> <tr> <td>2. <input type="checkbox"/> Applicant claims small entity status</td> <td style="text-align: right;">Pages 9</td> </tr> <tr> <td>3. <input checked="" type="checkbox"/> Specification (including 0 pg cover sheet, 6 pg description, 2 pg claims and 1pg abstract)</td> <td style="text-align: right;">Pages 2</td> </tr> <tr> <td>4. <input checked="" type="checkbox"/> Drawings</td> <td style="text-align: right;">Pages 1</td> </tr> <tr> <td>5. <input checked="" type="checkbox"/> Oath or Declaration</td> <td style="text-align: right;">Pages</td> </tr> <tr> <td>    a. <input checked="" type="checkbox"/> Newly executed (original or copy)</td> <td style="text-align: right;">Pages 2</td> </tr> <tr> <td>    b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))         (for continuation/divisional with Box 19 completed)</td> <td style="text-align: right;">Pages 3</td> </tr> <tr> <td>        i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached             deleting inventors named in the prior application</td> <td style="text-align: right;">Pages</td> </tr> <tr> <td>6. <input checked="" type="checkbox"/> Application Data Sheet</td> <td style="text-align: right;">Pages 2</td> </tr> <tr> <td>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; documents and check)</td> <td style="text-align: right;">Pages 2</td> </tr> <tr> <td>    <input type="checkbox"/> Previously recorded on _____, Reel _____, Frames</td> <td style="text-align: right;">Pages</td> </tr> <tr> <td>8. <input checked="" type="checkbox"/> Power of Attorney <input checked="" type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)</td> <td style="text-align: right;">Pages 1</td> </tr> <tr> <td>9. <input type="checkbox"/> English Translation Document</td> <td style="text-align: right;">Pages 3</td> </tr> <tr> <td>10. <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of Citations ( _____ references)</td> <td style="text-align: right;">Pages</td> </tr> <tr> <td>11. <input type="checkbox"/> Preliminary Amendment</td> <td style="text-align: right;">Pages</td> </tr> <tr> <td>12. <input checked="" type="checkbox"/> Return Receipt Postcard</td> <td style="text-align: right;">Pages 1</td> </tr> <tr> <td>13. <input type="checkbox"/> Certified Copy of Priority Document</td> <td style="text-align: right;">Pages</td> </tr> <tr> <td>14. <input type="checkbox"/> Nonpublication Request</td> <td style="text-align: right;">Pages</td> </tr> <tr> <td>15. <input checked="" type="checkbox"/> Constructive Petition</td> <td style="text-align: right;">Pages 1</td> </tr> <tr> <td>16. <input type="checkbox"/> Limited Authorization</td> <td style="text-align: right;">Pages</td> </tr> <tr> <td>17. <input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal</td> <td style="text-align: right;">Pages 1</td> </tr> <tr> <td>18. <input type="checkbox"/> Other</td> <td style="text-align: right;">Pages</td> </tr> </table>				1. <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Included	Pages 2	2. <input type="checkbox"/> Applicant claims small entity status	Pages 9	3. <input checked="" type="checkbox"/> Specification (including 0 pg cover sheet, 6 pg description, 2 pg claims and 1pg abstract)	Pages 2	4. <input checked="" type="checkbox"/> Drawings	Pages 1	5. <input checked="" type="checkbox"/> Oath or Declaration	Pages	a. <input checked="" type="checkbox"/> Newly executed (original or copy)	Pages 2	b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 19 completed)	Pages 3	i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application	Pages	6. <input checked="" type="checkbox"/> Application Data Sheet	Pages 2	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents and check)	Pages 2	<input type="checkbox"/> Previously recorded on _____, Reel _____, Frames	Pages	8. <input checked="" type="checkbox"/> Power of Attorney <input checked="" type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages 1	9. <input type="checkbox"/> English Translation Document	Pages 3	10. <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of Citations ( _____ references)	Pages	11. <input type="checkbox"/> Preliminary Amendment	Pages	12. <input checked="" type="checkbox"/> Return Receipt Postcard	Pages 1	13. <input type="checkbox"/> Certified Copy of Priority Document	Pages	14. <input type="checkbox"/> Nonpublication Request	Pages	15. <input checked="" type="checkbox"/> Constructive Petition	Pages 1	16. <input type="checkbox"/> Limited Authorization	Pages	17. <input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal	Pages 1	18. <input type="checkbox"/> Other	Pages
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<p>19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet</p> <p><input type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part    Of prior application no. _____</p> <p>Prior Application Information: Examiner _____ Group Art Unit _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.</p>																																															
<p>20. TOTAL NUMBER OF PAGES</p> <p style="text-align: center;">21. CORRESPONDENCE ADDRESS</p> <p style="text-align: center;"><b>CUSTOMER NUMBER 490</b></p>																																															
Name		Richard Arrett, Reg. No. 33,153																																													
Signature		Date 10/23/2003																																													



16076 U.S.PTO

# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

 Applicant claims small entity status. See CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 810.00)**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number: 22-0350

Deposit Account Name: Vidas, Arrett &amp; Steinkraus

The Commissioner is authorized to: (check all that apply)

Charge the fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	\$770.00		
1002 340	2002 170	Design filing fee	—		
1003 530	2003 265	Plant filing fee	—		
1004 770	2004 385	Reissue filing fee	—		
1005 160	2005 80	Provisional filing fee	—		

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims		Fee from below	Fee Paid			
	9	-20** =		X	—	=	
Independent Claims		- 3*** =		X	—	=	
Multiple Dependent				X	—	=	
Large Entity Fee Code (\$)	Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee Code (\$)	Fee Description			
1202 18	2202 9	Claims in excess of 20					
1201 86	2201 43	Independent claims in excess of 3					
1203 290	2203 145	Multiple dependent claim, if not paid					
1204 86	2204 43	** Reissue independent claims over original patent					
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent					
		SUBTOTAL (2) (\$ 770.00)					

\*\* or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Richard Arrett	Registration No.	33,153	Telephone 952-563-3000
Signature			Date	10/ 23/2003

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Complete if Known					
Application Number					---
Filing Date					Concurrently herewith
First Named Inventor					Jan Herschel et al
Examiner Name					--
Art Unit					--
Attorney Docket No.					H01.2-11371
FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity	Entity	Small Entity	Entity	Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid	
1051	130	2051	65	Surcharge-late filing fee oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording of each patent assignment per property (times number of properties)	
1809	770	2809	385	\$40.00	
1810	770	2810	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1801	770	2801	385	For each additional invention to be examined (37 CFR 1.129(b))	
1802	900	1802	900	Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	
				Other fee (specify) _____	
				*Reduced by Basic Filing Fee Paid	
				SUBTOTAL (3) (\$ 810.00)	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Herschel, Krull
Application No.:	Not Assigned
Filed:	Concurrently herewith
For:	A BRAKING DEVICE FOR AN INDUSTRIAL TRUCK
Group Art Unit:	Not Assigned

Mail Stop \_\_\_\_\_  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Docket No.: H01.2B-11371-US01

**CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE  
AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)**

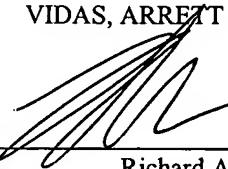
Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: 10/23/03

By: 

Richard A. Arrett  
Registration No.: 33153

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Minnetonka, MN 55343-9185  
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